



PTO/SB/21 (09-04)
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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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|--|--|------------------------|-----------------------|
| | | Application Number | 09/751684-Conf. #9139 |
| | | Filing Date | December 29, 2000 |
| | | First Named Inventor | Steven M. Blumenau |
| | | Art Unit | 2187 |
| | | Examiner Name | M. K. N. McLean |
| Total Number of Pages in This Submission | | Attorney Docket Number | E0295.70139US00 |

ENCLOSURES (Check all that apply)

| | | |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition For Revival of An Application For Patent Abandoned Unintentionally <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Continued Examination (RCE) Remarks Establishing Unintentional Delay Return Receipt Postcard |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

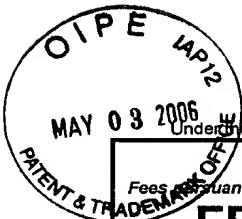
| | | | |
|--------------|--------------------------------|----------|--------|
| Firm Name | WOLF, GREENFIELD & SACKS, P.C. | | |
| Signature | | | |
| Printed name | Richard F. Giunta | | |
| Date | April 28, 2006 | Reg. No. | 36,149 |

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: April 28, 2006

Signature: (Eileen MacKenzie)



MAY 03 2006

Under the Paperwork Reduction Act of 1995, no person are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL
For FY 2005** Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$ 2,290.00)**Complete if Known**

| | |
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| Filing Date | December 29, 2000 |
| First Named Inventor | Steven M. Blumenau, et al. |
| Examiner Name | M. K. N. McLean |
| Art Unit | 2187 |
| Attorney Docket No. | E0295.70139US00 |

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order None Other (please identify): _____
 Deposit Account Deposit Account Number: 23/2825 Deposit Account Name: Wolf, Greenfield & Sacks, P.C.

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

| | |
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| <input type="checkbox"/> Charge fee(s) indicated below | <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee |
| <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17 | <input type="checkbox"/> Credit any overpayments |

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

| <u>Application Type</u> | <u>FILING FEES</u> | | <u>SEARCH FEES</u> | | <u>EXAMINATION FEES</u> | | <u>Fees Paid (\$)</u> |
|-------------------------|--------------------|------------------------------|--------------------|------------------------------|-------------------------|------------------------------|-----------------------|
| | <u>Fee (\$)</u> | <u>Small Entity Fee (\$)</u> | <u>Fee (\$)</u> | <u>Small Entity Fee (\$)</u> | <u>Fee (\$)</u> | <u>Small Entity Fee (\$)</u> | |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | |

2. EXCESS CLAIM FEESFee Description

Each claim over 20 (including Reissues)

| <u>Small Entity Fee (\$)</u> | <u>Fee (\$)</u> |
|------------------------------|-----------------|
| 50 | 25 |
| 200 | 100 |
| 360 | 180 |

Each independent claim over 3 (including Reissues)

Multiple dependent claims

| <u>Total Claims</u> | <u>Extra Claims</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> | <u>Multiple Dependent Claims</u> |
|---------------------|---------------------|---|----------------------|----------------------------------|
| - 20 = | x | = | | |
| <u>Total Sheets</u> | <u>Extra Sheets</u> | <u>Number of each additional 50 or fraction thereof</u> | <u>Fee (\$)</u> | <u>Fee Paid (\$)</u> |
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DOCKET NO.: E0295.70139US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven M. Blumenau et al.
Serial No.: 09/751,684
Confirmation No.: 9139
Filed: December 29, 2000
For: METHOD AND APPARATUS FOR MANAGING ACCESS
TO STORAGE DEVICES IN A STORAGE SYSTEM WITH
ACCESS CONTROL

Examiner: McLean Mayo, Kimberly N.
Art Unit: 2187

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to MAIL STOP PETITION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 28 day of April, 2006.

Eileen MacKenzie
Eileen MacKenzie

MAIL STOP PETITION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REMARKS ESTABLISHING UNINTENTIONAL DELAY

Sir:

The above identified application was unintentionally abandoned following the expiration of the six month period following the Final Office Action mailed October 4, 2005. Of the sixty-six claims pending, the Final Office Action rejected claims 1-13, 15-21, 23-41, 43-57, 60-66, but indicated that dependent claims 14, 22, 42, 58 and 59 would be allowable if rewritten in independent form including all limitations of their respective base claim and any intervening claims.

In response to the Final Office Action, Applicant filed a Request For Reconsideration including arguments requesting withdrawal of the finality of the rejection. The Amendment was filed on December 5, 2005, within the two-month period following the mailing of the Final Office Action. Applicant received an Advisory Action, mailed January 4, 2006, indicating that 1014583.1

the arguments made in the Request for Reconsideration were not persuasive. The Advisory Action maintained the position of the Final Office Action that dependent claims 14, 22, 42, 58, and 59 would be allowable if rewritten in independent form including all limitations of their respective base claim and any intervening claims.

In response, Applicant filed an Amendment on February 6, 2006 to take the subject matter indicated as allowable. In the Amendment, Applicant indicated that because the only claims left in the application were those indicated as allowable, all outstanding issues were believed to be resolved. Thus, Applicant expected a Notice of Allowance to be issued. While awaiting the Notice of Allowance, the six month period lapsed.

Shortly thereafter, Applicant received an Advisory Action mailed April 5, 2006, one day after the expiration of the six-month period. In the Advisory Action, the Examiner indicated that she had reconsidered her position because she felt that there was an inconsistency in claim 14. Upon receipt of the Advisory Action, Applicant realized that the application had unintentionally gone abandoned and telephoned the Examiner to inquire as to why an Advisory Action was mailed after the six-month date. The Examiner indicated that the Advisory Action was mailed in error.

Applicant encloses herein a Petition for Revival of an Application for Patent Abandoned Unintentionally. Applicant also submits herewith an RCE and an Amendment, pursuant to 37 C.F.R. §1.137(b)(1) that is believed to put the application in allowable condition, in view of the Advisory Action mailed April 6, 2006.

Respectfully submitted,
Steven M. Blumenau et al., Applicant(s)

By:


Richard F. Giunta, Reg. No. 36,149
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, Massachusetts 02210-2206
Telephone: (617) 646-8000

Docket No.: E0295.70139US00
Date: April 27, 2006